

09/555295
526 Rec'd PCT/PTO 26 MAY 2000

#4

-1-

BOX PCT
IN THE UNITED STATES ELECTED OFFICE
OF THE UNITED STATES PATENT AND TRADEMARK OFFICE
UNDER THE PATENT COOPERATION TREATY-CHAPTER II

5 APPLICANT(S): ERICH GOTTWALD DOCKET NO: P00,0760
SERIAL NO: GROUP ART UNIT:
EXAMINER:
INTERNATIONAL APPLICATION NO: PCT/DE98/03254
INTERNATIONAL FILING DATE: 06 NOVEMBER 1998
10 INVENTION: **METHOD AND APPARATUS FOR ADJUSTING
TILTING AND LEVEL OF OPTICAL SIGNALS**

Assistant Commissioner for Patents,
Washington, D.C. 20231

INFORMATION DISCLOSURE STATEMENT

15 Sir:

In accordance with the provisions of 37 C.F.R. §1.56 and the requirements of 37 C.F.R. §1.98, Applicant respectfully requests that consideration and citation of the following documents be made during the course of examination of the above-identified application.

20 AL European reference EP 0 139 081
AM European reference EP 0 734 105
AN Great Britain GB 2 294 170
AO Japanese reference JP 58-85588
AP Japanese reference JP 59-65828
25 AQ Japanese reference JP 57-176312

- AS Paul F. Wysocki et al., "Broad-Band Erbium-Doped Fiber Amplifier Flattened Beyond 40 nm Using Long-Period Grating Filter, IEEE Photonics Technology Letters, Vol. 9, No. 10, October 1997, pages 1343-1345;
- 5 AT Hans-Georg Unger et al. "Optische Nachrichtentechnik", Teil II: Komponenten, System, Meßtechnik, (1992), pages 329-330
- AU Encyclopedia of Applied Physics, Vol. 1, Edited by George L. Trigg, Pages 607-621

10

EXPLANATION OF RELEVANCE

References AL, AM, AN, AO and AP were cited during previous examination of the present PCT application. Accordingly, no further commentary concerning their teachings is believed to be necessary.

15 References AQ, AS, AT and AU are further prior art references known to the Applicants. References AS and AU are in English. Accordingly, no further commentary concerning their teachings is believed to be necessary.

20 The present application includes a discussion of reference AQ which also correspond to reference AP. Accordingly, no further commentary is believed necessary. Finally, German reference AT describes a conventional method for amplification of optical signals using laser pumping. If, however, a translation is required by the Examiner, the Applicants would be willing to submit a translation.

Copies of each of the above references together with Form 1449 and the European Search Report are submitted herewith.

The Information Disclosure Statement is being filed concurrently with the present application, and is therefore in compliance with 37 C.F.R. 5 §1.97(b)(1) and no fee is necessary.

10 The above citation of prior art is not a representation that such art constitutes a complete or exhaustive listing of all pertinent prior art, nor that it necessarily includes the closest or most relevant art. The aforementioned citation comprises a voluntary citation of prior art of which Applicants are presently aware and is not intended to serve as a substitute for the Examiner's own search.

Respectfully submitted,

Steven H. Noll (Reg. No. 28,982)

15 Steven H. Noll
Hill & Simpson
A Professional Corporation
85th Floor Sears Tower
Chicago, Illinois 60606
(312) 876-0200
20 Attorneys for Applicant